Inspection Results (IRR)

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- 88920 (40)

Inspection Results Report (ALL Non-Empty Results) - Scp_PK 88920

Row	Assets	Result	Sub-Group	Qst #	Question ID	References	Question Text
1.	(and 1 other asset)	Sat	DA.GENERAL	1.	DA.GENERAL.DER.R	40.3 (40.15(d), 40.355(k))	Has the operator appointed a company employee as the Designated Employer Representative (DER)?
2.	(and 1 other asset)	Sat	DA.GENERAL	2.	DA.GENERAL.SERVICEAGENTOVERSIGHT.P	40.11(b) (40.11(c), 40.15(c), 40.341(a), 40.355(a), 40.355(m), 40.355(n))	Does the process ensure the operator remains responsible for the actions of all its service agents, including a Consortium/Third Party Administrator (C/TPA)?
3.	(and 1 other asset)	Sat	DA.GENERAL	3.	DA.GENERAL.COVEREDEMPLOYEES.P	199.3 (199.1, 40.347(b)(2))	Does the process result in the proper and complete identification of covered employees and the exclusion of non-covered employees?
4.	(and 1 other asset)	Sat	DA.GENERAL	4.	DA.GENERAL.PREVIOUSEMPLOYERRECORDS.P	40.25(a) (40.25(b), 40.25(c), 40.25(d), 40.25(e), 40.25(f), 40.25(g), 40.27, 40.321(b), 40.351(d))	Does the process include previous employer DOT D&A record checks for employees seeking to perform covered functions for the first time (i.e., a new hire or an employee transferring into a safety-sensitive position)?
5.	(and 1 other asset)	Sat	DA.GENERAL	5.	DA.GENERAL.NONDOTTESTS.P	40.13(a) (40.13(b))	Does the process separate and prioritize DOT drug and alcohol testing over all non-DOT drug and alcohol testing?

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6.	(and 1 other asset)	Sat	DA.GENERAL	6.	DA.GENERAL.CONTRACTOROVERSIGHT.P	199.115 (199.115(a), 199.115(b), 199.245(a), 199.245(b), 199.245(c))	If a contractor performs covered functions on the pipeline and is allowed to have its own D&A Programs, does the process ensure the contractor fully complies with Parts 199 and 40?
7.	(and 1 other asset)	Sat	DA.GENERAL	7.	DA.GENERAL.MISREPORTS.P	199.119(a) (199.3, 199.119(f), 199.229(a), 199.229(d), 40.26)	Does the process ensure D&A MIS reports are submitted annually to PHMSA as required?
8.	(and 1 other asset)	Sat	DA.DRUG	1.	DA.DRUG.PLAN.P	199.101(a) (199.101(a)(1), 199.101(a)(2), 199.101(a)(3), 199.101(a)(4))	Is a written Anti-Drug Plan in-place and maintained that conforms to the requirements of Part 199 and Part 40?
9.	(and 1 other asset)	Sat	DA.DRUG	2.	DA.DRUG.SERVICEAGENTQUAL.R	199.5 (199.107(a), 199.109(b), 40.33, 40.81(a), 40.121, 40.281)	Do records indicate that Anti-Drug program positions and/or service agents meet the applicable qualification requirements of Part 40 and Part 199?
10.	(and 1 other asset)	Sat	DA.DRUG	3.	DA.DRUG.PROHIBITEDDRUGS.P	199.3 (40.3)	Does the process require that DOT drug tests are only conducted for the â€œprohibited drugsâ€□ specified in Part 40?
11.	(and 1 other asset)	Sat	DA.DRUG	4.	DA.DRUG.PREMPLOYMENT.P	199.105(a) (199.105(c)(5))	Does the process require that no individual is allowed to perform a covered function unless that individual passes a drug test or is covered by an anti-drug program that conforms to Part 199?

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12.	(and 1 other asset)	Sat	DA.DRUG	5.	DA.DRUG.POSTACCIDENT.P	199.105(b) (199.117(a)(5), 40.355(g), 40.355(h))	Does the process ensure that post-accident drug testing is conducted as required?
13.	(and 1 other asset)	Sat	DA.DRUG	6.	DA.DRUG.RANDOM.P	199.105(c)(5) (199.105(c)(6), 199.105(c)(7), 199.105(c)(8), 199.105(c)(9))	Does the process ensure that random drug testing is conducted as required?
14.	(and 1 other asset)	Sat	DA.DRUG	7.	DA.DRUG.REASONABLECAUSE.P	199.105(d) (199.117(a)(3), 40.355(g), 40.355(h))	Does the process ensure that reasonable cause drug testing is conducted as required?
15.	(and 1 other asset)	Sat	DA.DRUG	8.	DA.DRUG.RETURNTODUTY.P	199.105(e) (Part 40 Subpart O, 40.67(b), 40.285(a), 40.289(b), 40.305(a))	Does the process ensure that return-to-duty drug testing is conducted as required?
16.	(and 1 other asset)	Sat	DA.DRUG	9.	DA.DRUG.FOLLOWUPTEST.P	199.105(f) (40.67(b), 40.307, 40.309)	Does the process ensure that follow-up drug testing is conducted as required?
17.	(and 1 other asset)	Sat	DA.DRUG	10.	DA.DRUG.MRODUTIES.P	199.109(c) (199.109(a), 40.123(a), 40.123(b), 40.123(c), 40.123(e), 40.123(f), 40.123(g), Part 40 Subpart G)	Does the process ensure the MRO performs functions as required by DOT Procedures?
18.	(and 1 other asset)	Sat	DA.DRUG	11.	DA.DRUG.MROTESTREPORTS.P	199.109(d) (Part 40 Subpart G, 40.345(a), 40.345(b), 40.345(c), 40.355(b), 40.355(c))	Does the process ensure the MRO reports all drug test results to the DER as required?
19.	(and 1 other asset)	Sat	DA.DRUG	12.	DA.DRUG.STANDOWNREMOVAL.P	199.103(a) (199.7(a), 40.21(a), 40.21(b), 40.23(a), 40.23(b), 40.23(d), 40.287)	Does the process ensure an employee is immediately removed from performing covered functions after failing or refusing a drug test?
20.	(and 1 other asset)	Sat	DA.DRUG	13.	DA.DRUG.EAP.P	199.113(a) (199.113(b), 199.113(c))	Does the process ensure that an EAP is established and available to employees and

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							supervisory personnel?
21.	(and 1 other asset)	Sat	DA.ALCOHOL	1.	DA.ALCOHOL.PLAN.P	199.202	Is a written Alcohol Misuse Plan in-place and maintained that conforms to the requirements of Part 199 and Part 40?
22.	(and 1 other asset)	Sat	DA.ALCOHOL	2.	DA.ALCOHOL.SERVICEAGENTQUAL.R	199.5 (40.213)	Do records indicate that Alcohol Misuse Prevention Program positions and/or service agents meet the applicable qualification requirements of Part 40 and Part 199?
23.	(and 1 other asset)	Sat	DA.ALCOHOL	3.	DA.ALCOHOL.PROHIBITEDCONDUCT.P	199.233 (199.215, 199.217, 199.219, 199.221, 199.223, 40.23(c), 40.285(a), 40.285(b))	Does the process ensure that a covered employee is not permitted to perform covered functions if the employee has engaged in prohibited conduct or an alcohol misuse rule of another DOT agency?
24.	(and 1 other asset)	Sat	DA.ALCOHOL	4.	DA.ALCOHOL.SCREENINGTESTDEVICES.P	40.229 (40.235(e))	Does the process for alcohol screening tests restrict the use of alcohol screening devices (ASDs) to the devices and associated requirements referenced by Part 40?
25.	(and 1 other asset)	Sat	DA.ALCOHOL	5.	DA.ALCOHOL.CONFIRMATIONTESTDEVICES.P	40.231(a) (40.233(c))	Does the process for alcohol confirmation tests restrict the use of Evidential Breath Testing Devices (EBTs) to the devices and associated requirements referenced by Part 40?
26.	(and 1 other asset)	NA	DA.ALCOHOL	6.	DA.ALCOHOL.PREMPLOY.P	199.209(b) (199.209(b)(1), 199.209(b)(2), 199.209(b)(3))	If pre-employment alcohol testing is conducted, does

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							the process ensure that such testing is performed within the limits of Part 199?
27.	(and 1 other asset)	Sat	DA.ALCOHOL	7.	DA.ALCOHOL.POSTACCIDENT.P	199.225(a) (199.225(a)(1), 199.225(a)(2), 199.225(a)(3), 199.227(b)(4))	Does the process ensure that post-accident alcohol testing is conducted as required?
28.	(and 1 other asset)	Sat	DA.ALCOHOL	8.	DA.ALCOHOL.REASONSUSPECT.P	199.225(b) (199.225(b)(1), 199.225(b)(2), 199.225(b)(3), 199.225(b)(4), 40.355(g), 40.355(h))	Does the process ensure that reasonable suspicion alcohol testing is conducted as required?
29.	(and 1 other asset)	Sat	DA.ALCOHOL	9.	DA.ALCOHOL.RETURNDUTY.P	199.225(c) (199.233, 199.243(c), 40.67(b), 40.285(a), 40.305(a))	Does the process ensure that return-to-duty alcohol testing is conducted as required?
30.	(and 1 other asset)	Sat	DA.ALCOHOL	10.	DA.ALCOHOL.FOLLOWUPTEST.P	199.225(d) (199.243(c)(2)(ii), 40.307(a), 40.307(b), 40.309(a))	Does the process ensure that follow-up alcohol testing is conducted as required?
31.	(and 1 other asset)	Sat	DA.ALCOHOL	11.	DA.ALCOHOL.SCREENINGTEST.P	40.247(a)	Does the process ensure that alcohol screening test results are reported as required to the DER?
32.	(and 1 other asset)	Sat	DA.ALCOHOL	12.	DA.ALCOHOL.CONFIRMATIONTEST.P	40.255(a) (40.355(d))	Does the process ensure that alcohol confirmation test results are reported as required to the DER?
33.	(and 1 other asset)	Sat	DA.ALCOHOL	13.	DA.ALCOHOL.OTHERCONDUCT.P	199.237(a) (199.237(b), 40.23(c))	Does the process ensure that a covered employee is prohibited from performing or continuing to perform covered functions when found to have an alcohol concentration of 0.02 or greater but less than 0.04?
34.	(and 1 other asset)	Sat	DA.ALCOHOL	14.	DA.ALCOHOL.EMPLOYEERESOURCES.P	199.243(a) (40.285(b))	Does the process ensure that each covered

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							employee who has engaged in prohibited conduct is advised of the resources available to the covered employee in evaluating and resolving problems associated with the misuse of alcohol?
35.	(and 1 other asset)	Sat	DA.ALCOHOL	15.	DA.ALCOHOL.EDUCATION.R	199.239(a) (199.239(a)(1), 199.239(a)(2))	Do records indicate educational materials were provided that explain alcohol misuse requirements and the policies and procedures with respect to meeting those requirements?
36.	(and 1 other asset)	Sat	DA.ALCOHOL	16.	DA.ALCOHOL.EDUCATIONCONTENT.R	199.239(b)	Do records indicate the alcohol misuse educational materials provided by the operator included the required content?
37.	(and 1 other asset)	Sat	DA.ALCOHOL	17.	DA.ALCOHOL.SUPERVISORTRAINALCOHOL.P	199.241	Does the process include the required 60-minute supervisory personnel training related to recognizing reasonable suspicion for alcohol testing?
38.	(and 1 other asset)	Sat	DA.PROGRAMRECORDS	1.	DA.PROGRAMRECORDS.RECORDKEEPING.R	199.117(b) (199.227(a), 199.231(b), 40.333(c), 40.333(d), 40.333(e))	Are drug and alcohol program records maintained and kept in a secure and proper location?
39.	(and 1 other asset)	Sat	DA.PROGRAMRECORDS	2.	DA.PROGRAMRECORDS.DRUG.R	199.117(a) (199.117(a)(1), 199.117(a)(2), 199.117(a)(3), 199.117(a)(4), 199.117(a)(5), 40.97(b), 40.111(a), 40.333(a)(1),	Are drug test records retained for five years, three years, and one year as required and readily available?

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						40.333(a)(2), 40.333(a)(4))	
40.	(and 1 other asset)	Sat	DA.PROGRAMRECORDS	3.	DA.PROGRAMRECORDS.ALCOHOL.R	199.227(b) (199.227(b)(1), 199.227(b)(2), 199.227(b)(3), 199.227(b)(4), 40.333(a)(1), 40.333(a)(2), 40.333(a)(3), 40.333(a)(4))	Are alcohol test records retained for five years, three years, two years, and one year as required and readily available?

Report Parameters: All non-empty Results

Except as required to be disclosed by law, any inspection documentation, including completed protocol forms, summary reports, executive summary reports, and enforcement documentation are for internal use only by federal or state pipeline safety regulators. Some inspection documentation may contain information which the operator considers to be confidential. In addition, supplemental inspection guidance and related documents in the file library are also for internal use only by federal or state pipeline safety regulators (with the exception of documents published in the federal register, such as advisory bulletins). Do not distribute or otherwise disclose such material outside of the state or federal pipeline regulatory organizations. Requests for such information from other government organizations (including, but not limited to, NTSB, GAO, IG, or Congressional Staff) should be referred to PHMSA Headquarters Management.