

PHMSA Form 14 Question Set (IA Equivalent)
PHMSA (OQ) INSPECTION FORM

Name of Operator: Ferndale Storage		Unit ID No.	
OPID No. 31758		Insp ID: 5834	
HQ Address:		System/Unit Name & Address:	
15840 FM 529 Suite 270 Houston, Texas 77095		4100 Unick Rd Ferndale, WA 98248	
Operator Official: Steve Warsinske		Address: 15840 FM 529, Ste 270	
Title: Vice President & Controller		City: Houston	
Phone: 810-887-4720		State: Texas	
Emergency Phone/Cell: 360-384-1701		Zip Code: 77095	
Persons Interviewed		Title	
Gary McSpadden		Maintenance Coordinator, Petrogas Ferndale Terminal	
		Phone No.	
		360 384 1701 ext 1043	
State Representative(s): Ronda Shupert		Inspection Date(s) 11/13/14	
Records Location:		Ferndale	

Unit Description:
Portion of Unit Inspected:
Operator Qualification program

Sat+ - Exceeds requirements/exemplary performance
 Sat - Meets requirements
 Con - "Concern" meets requirements, but is an area of recommendation and/or area that if not addressed may lead to non-compliance
 Unsat - Does not meet requirements
 N/A - Not Applicable
 N/C - Not Checked

Training and Qualification - Operator Qualification

1. Operator Qualification Plan and Covered Tasks (detail) *Is there an OQ plan that includes covered tasks, and the basis used for identifying covered tasks?* (TQ.OQ.OQPLAN.P) (detail)

192.805(a) (192.801(b))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

List of covered tasks in manual Appendix A. Used Chevron's list and added more.

2. Reevaluation Intervals for Covered Tasks (detail) *Does the process establish and justify requirements for reevaluation intervals for each covered task?* (TQ.OQ.REEVALINTERVAL.P) (detail)

192.805(g)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

Section 7.2 in manual.

3. Contractors Adhering to OQ Plan (detail) *Does the process require the OQ plan to be communicated to contractors and ensure that contractors are following the plan?* (TQ.OQ.OQPLANCONTRACTOR.P) (detail)

192.805(b) (192.805(f); 192.805(c))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

Contractors are used. At the present time contractors are being run through OQ as if they were an employee. Section 6.2 in manual.

4. Contractor and Other Entity Qualification (detail) *Does the process require contractor organizations or other entities that perform covered tasks on behalf of the operator to be qualified?* (TQ.OQ.OQCONTRACTOR.P) (detail)

192.805(b) (192.805(c); 192.855(d); 192.805(e); 192.805(f))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

Section 6.2 of manual

6. Contractor and Other Entity Qualification (detail) *Are adequate records maintained for contractor personnel qualifications that contain the required elements?* (TQ.OQ.OQCONTRACTOR.R) (detail)

192.807(a) (192.807(b))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

Section 16.0 of the manual.

7. Management of Other Entities Performing Covered Tasks (detail) *Do records document evaluation of the other entity (ies) performing covered task(s) on behalf of the operator (e.g., through mutual assistance agreements) prior to performing task?* (TQ.OQ.OTHERENTITY.R) (detail)

192.805(b) (192.805(c); 192.803)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

Section 14 in the manual

8. Evaluation Methods (detail) *Are evaluation methods established and documented appropriate to each covered task?* (TQ.OQ.EVALMETHOD.P) (detail)

192.805(b) (192.803; 192.809(d); 192.809(e))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
Training done online through Energy World Net (EWN). Reports can be run anytime through this system. Section 8.1

9. Evaluation Methods (detail) *Do records indicate evaluation methods are documented for covered tasks and consistent with personnel qualification records?* (TQ.OQ.EVALMETHOD.R) (detail)

192.805(b) (192.803; 192.809(d); 192.809(e))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
Section 8.1. Examples shown

10. Abnormal Operating Conditions (detail) *Does the process require: 1) individuals performing covered tasks be qualified to recognize and react to abnormal operating conditions (AOCs), 2) evaluation and qualification of individuals for their capability to recognize and react to AOCs, 3) AOCs identified as those that the individual may reasonably anticipate and appropriately react to during the performance of the covered task, and 4) established provisions for communicating AOCs for the purpose of qualifying individuals?* (TQ.OQ.ABNORMAL.P) (detail)

192.803

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
Section 7 & 8 of the manual. Examples shown.

11. Abnormal Operating Conditions (detail) *Do records document evaluation of qualified individuals for recognition and reaction to AOCs?* (TQ.OQ.ABNORMAL.R) (detail)

192.807(a) (192.807(b); 192.803)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
Examples shown for Jeff Bannerman. Done through EWN

12. Qualification Records for Personnel Performing Covered Tasks (detail) *Do records document the evaluation and qualifications of individuals performing covered tasks, and can the qualification of individuals performing covered tasks be verified?* (TQ.OQ.RECORDS.R) (detail)

192.807

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
Section 16.1

13. Planning for Mergers and Acquisitions (Due Diligence re: Acquiring Qualified Individuals) (detail) *Does the process adequately manage qualifications of individuals performing covered tasks during program integration following a merger or acquisition?* (TQ.OQ.MERGERACQ.P) (detail)

192.805(b) (192.803)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
Section 6.3

14. Training Requirements (Initial, Retraining, and Reevaluation) (detail) *Does the OQ program provide for initial qualification, retraining and reevaluation of individuals performing covered tasks? (TQ.OQ.TRAINING.P) (detail)*

192.805(h)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
Section 7.0, records kept in the EWN system

16. Covered Task Performed by Non-Qualified Individual (detail) *Are there provisions for non-qualified individuals to perform covered tasks while being directed and observed by a qualified individual, and are there restrictions and limitations placed on such activities? (TQ.OQ.NONQUALIFIED.P) (detail)*

192.805(c)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
Section 12 of the manual.

17. Personnel Performance Monitoring (detail) *Does the program include provisions to evaluate an individual if there is reason to believe the individual is no longer qualified to perform a covered task based on: covered task performance by an individual contributed to an incident or accident; other factors affecting the performance of covered tasks? (TQ.OQ.PERFMONITOR.P) (detail)*

192.805(d) (192.805(e))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
Section 9, 10 & 11

19. Program Performance and Improvement (detail) *Does the process require evaluation of the OQ program and implementation of improvements to enhance the effectiveness of the program? (TQ.OQ.PROGRAMEVAL.P) (detail)*

192.605(a) (192.605(b)(8))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
Section 15 of the manual.

21. Management of Changes (detail) *Does the OQ program identify how changes to procedures, tools standards and other elements used by individuals in performing covered tasks are communicated to the individuals, including contractor individuals, and how these changes are implemented in the evaluation method(s)? (TQ.OQ.MOC.P) (detail)*

192.805(f)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
Section 15

22. Notification of Significant Plan Changes (detail) *Does the process require significant OQ program changes to be identified and the Administrator or State agency notified? (TQ.OQ.CHANGENOTIFY.P) (detail)*

192.805(i)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
Section 15

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Acceptable Use: Inspection documentation, including completed protocol forms, summary reports, executive summary reports, and enforcement documentation are for internal use only by federal or state pipeline safety regulators. Some inspection documentation may contain information which the operator considers to be confidential. In addition, supplemental inspection guidance and related documents in the file library are also for internal use only by federal or state pipeline safety regulators (with the exception of documents published in the federal register, such as advisory bulletins). Do not distribute or otherwise disclose such material outside of the state or federal pipeline regulatory organizations. Requests for such information from other government organizations (including, but not limited to, NTSB, GAO, IG, or Congressional Staff) should be referred to PHMSA Headquarters Management.