

**COMMERCIAL FERRY COMPANIES  
2015  
ANNUAL REPORT**

FOR

\_\_\_\_\_  
(NAME UNDER WHICH CORPORATION, PARTNERSHIP, OR INDIVIDUAL IS DOING BUSINESS)

\_\_\_\_\_  
(OFFICIAL MAILING ADDRESS)

\_\_\_\_\_  
(CITY)

\_\_\_\_\_  
(STATE)

\_\_\_\_\_  
(ZIP)

Please check if address listed above is an updated address

**Report Year Ended: December 31, 2015**

Inquiries concerning this Annual Report should be addressed to:

Name/Title: \_\_\_\_\_  
Address: \_\_\_\_\_  
City: \_\_\_\_\_  
State/Zip: \_\_\_\_\_  
Telephone: \_\_\_\_\_  
Email: \_\_\_\_\_

SUBMIT TO:  
WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION  
PO Box 47250  
Olympia, WA 98504-7250

File online: [www.utc.wa.gov](http://www.utc.wa.gov)



**REPORT MUST BE RECEIVED NO LATER THAN MAY 2, 2016**

\*\*\*Please refer to the Instructions for Completing the Annual Report on Page 2\*\*\*

## INSTRUCTIONS FOR ANNUAL REPORT COMPLETION

### *Commission Authority*

The purpose of this form is to collect financial and operational information from commercial ferry companies regulated by the Washington Utilities and Transportation Commission (UTC). The commission's authority for requiring this report is found in RCW 81.04.080. This report is a non-confidential public use form.

### *Certification*

The Annual Report Certification must be signed by an authorized officer, partner or owner.

### *Regulatory Fees*

Regulatory fees are set by commission order A-140166.

### *Deadlines and Penalties*

All commercial ferry companies regulated by the UTC are required to complete this form, including all schedules. Failure to complete all schedules will result in the report being considered incomplete and subject to penalties. Completed forms and regulatory fee payments must be received by the UTC no later than **May 2, 2016**. Failure to file the annual report by the above deadline will result in a financial penalty of \$100 for each business day after May 2. Failure to pay the regulatory fees by the above deadline will result in a 2 percent penalty on the amount due and a 1 percent monthly interest charge on the unpaid balance.

### *Extension Requests*

You may file a written request for an extension to file the completed annual report; however, the commission will not extend the deadline for paying regulatory fees. Any extension request must be filed with the commission by **April 15, 2016**, and must state a valid reason for why the extension is needed and identify a specific date which the report will be filed with the commission. The commission will notify you by April 30 whether your request is approved or denied. Even if your request is approved, you will still be liable for penalties and interest payments if you fail to pay your regulatory fees by May 2. To file your extension request online visit:

<http://www.utc.wa.gov/docs/Pages/ElectronicFiling.aspx>

### *No longer operating in Washington*

You may cancel your registration or permit by using the Voluntary Cancellation Form available at:

<http://www.utc.wa.gov/regulatedindustries/transportation/commercialferries/pages>

However, if your company operated in Washington at any time during the 2015 calendar year, you must pay regulatory fees and file a closing annual report based on the months of operation during 2015.

### *Confidential Status*

Commercial ferry companies are not permitted to file annual report documents as confidential. If a company regulated under RCW Title 81 submits its annual report or fee sheet as confidential, the commission will reject that submission and will not consider the report to be filed until the date the company submits the report and all required documents and information without any designation of confidentiality. If that date is after May 2, the company will be subject to the penalties described above. The commission will not disclose credit card information, if included, on the Payment Information Page or via online filing.

### *Electronic Filing and Payment*

To obtain an electronic format of the report, submit a report online or pay your regulatory fees online visit:

[www.utc.wa.gov/regulatedindustries/pages/annualreports](http://www.utc.wa.gov/regulatedindustries/pages/annualreports)

### *Staff Contact*

Amber George at (360) 664-1156 or [ageorge@utc.wa.gov](mailto:ageorge@utc.wa.gov)

TTY Toll-Free phone number 1-800-416-5289

# ANNUAL REPORT CERTIFICATION

(PLEASE VERIFY THAT ALL SCHEDULES ARE ACCURATE AND COMPLETE BEFORE SIGNING)

I, the undersigned \_\_\_\_\_  
Responsible Account Officer (Please Print)

of \_\_\_\_\_  
Name of Company

have examined the foregoing report; that, to the best of my knowledge and belief, all statement of fact contained in said report are true and said report is a correct statement of the business and affairs of the above-named respondent in respect to each and every matter set forth therein during the period from January 1, 2015, to December 31, 2015, inclusive.

\_\_\_\_\_  
Title  
(please print)

\_\_\_\_\_  
Signature  
(please type if filing electronically)

\_\_\_\_\_  
Telephone Number

\_\_\_\_\_  
Date

## GENERAL INFORMATION

**Washington Unified Business Identifier (UBI) No.:** \_\_\_\_\_  
(If you do not know your UBI No. please contact Business Licensing Service at 1-800-451-7985 or BLS@dor.wa.gov)

**Business Structure (please check the appropriate designation):**

Individual / Sole Proprietor    Partnership    Other (LP, LLP, LLC)    Corporation    Nonprofit Corporation

List the name, title, and percentage of partner's share or stock distribution for major stockholders. If LLC, list members and percentage of ownership.

Name	Title	Percent / Shares / Stock / Ownership

**SCHEDULE 1  
INCOME STATEMENT  
FOR REGULATED SERVICES**

OPERATING REVENUES		
1	Passenger	
2	Freight	
3	Other (specify on separate page)	
4	<i>Total Revenue (Add Lines 1 through 3)</i>	
OPERATING EXPENSES		
Direct Payroll		
5	Company Officers & Owners	
6	Crew (Full & Part Time)	
7	Other (specify on separate page)	
8	<i>Total Payroll (Add Lines 5 through 7)</i>	
Fringe Benefits		
9	Employee Benefits (Health & Welfare, Pensions, etc.)	
10	Payroll Taxes (Federal + State)	
11	Other (specify on separate page)	
12	<i>Total Fringe Benefits (Add Lines 9 through 11)</i>	
Transportation		
13	Vessel Repair & Maintenance	
14	Fuel & Oil	
15	Stores, Supplies & Equipment	
16	Port, Wharfage & Dockage	
17	Charter Rentals/Leases	
18	Vessel Insurance	
19	Vessel Depreciation	
20	Other (specify on separate page)	
21	<i>Total Transportation (Add Lines 13 through 20)</i>	
General		
22	Office Supplies & Postage	
23	Legal & Accounting	
24	Utilities & Communication	
25	Traffic & Advertising	
26	Agency Fees & Commissions	
27	Operating Rents (Other than Vessel)	
28	Insurance (Other than Vessel)	
29	Depreciation (Other than Vessel)	
30	Real Estate & Personal Property Taxes	
31	Business Taxes	
32	Other (specify on separate page)	
33	<i>Total General (Add Lines 22 through 32)</i>	
34	<b>Total Operating Expenses (Add Lines 8, 12, 21 and 33)</b>	
35	<b>Net Operating Income (Line 4 minus Line 34)</b>	
OTHER INCOME AND DEDUCTIONS		
36	Other Income	
37	Interest Expense	
38	Other Deduction	
39	Federal Income Taxes	
40	<b>Net Income (Line 35 plus Line 36, minus Lines 37 through 39)</b>	

**SCHEDULE 1A  
BALANCE SHEET - TOTAL COMPANY**

Line No.	Account Names (a)	Balance End of Year (c)
<b>Current Assets:</b>		
1	Cash and Working Funds	
2	Accounts Receivable	
3	Materials and Supplies	
4	Other Current Assets (specify on separate page)	
5	Total Current Assets	
<b>Tangible Property:</b>		
6	Operating Property	
7	Less: Accumulated Depreciation	
8	Net Operating Property	
9	Non-Operating Property	
10	Less: Accumulated Depreciation	
11	Net Non-Operating Property	
12	Total Net Tangible Property	
<b>Intangible Property:</b>		
13	Organization, Franchises, and Permits	
14	Accumulated Amortization-Credit	
15	Total Net Intangible Property	
16	<b>Total Assets</b> (Add Lines 5, 12 and 15)	
<b>Current Liabilities:</b>		
17	Notes Payable	
18	Accounts Payable	
19	Current Portion of Long Term Debt (Equip. and Other)	
20	Other Current Liabilities (specify on separate page)	
21	Total Current Liabilities	
<b>Long Term Debt After 1 Year:</b>		
22	Equipment Obligations	
23	Other Long Term Debt (specify on separate page)	
24	Total Long Term Debt Due After 1 year	
25	Total Liabilities (Add Lines 21 and 24)	
<b>Shareholder's and Proprietor's Equity:</b>		
26	Capital Stock	
27	Capital Stock	
28	Paid in Capital in Excess of Par	
29	Other Capital (specify on separate page)	
30	Total Capital Stock	
31	Retained Earnings	
32	Total Equity (Add Lines 30 and 31)	
33	<b>Total Liabilities and Equity (Add Lines 25 and 32)</b>	

Line 16 **MUST** match Line 33

**SCHEDULE 2  
EQUIPMENT**

(include additional sheets as required)

OWNED	Name of Vessel	Rig and Motive Power	Gross Tonnage	Length	Cost
				<b>Total</b>	

LEASED	Name of Vessel	Rig and Motive Power	Gross Tonnage	Length	Cost
				<b>Total</b>	

**SCHEDULE 3  
OPERATING STATISTICS**

(include additional sheets or attached separate schedule as required)

Operating Information	Route 1	Route 2	Route 3
General character of business (Passenger or Freight)			
Terminals between which respondent operate			
Total passengers carried			
Total tons of freight carried			

Enter data for each type of fuel used (2013)	Fuel Type 1	Fuel Type 2
Fuel Type; purchasing unit of measure i.e., Diesel/Gallons		
Annual total dollars purchased		
Annual total purchasing units of measure purchased		
Average annual price per purchasing unit of measure (Line 2/Line		
Total miles operated; regulated business		
Fuel surcharge revenue; regulated business		
Operating expenses; regulated business		

**SCHEDULE 4  
NON-FEE PAYING REVENUE**

List all revenue not subject to Regulatory Fee

(must be the same as Line 2 on the Regulatory Fee Calculation Sheet)

Items	Amount
1	
2	
3	
<b>Total</b>	

**REGULATORY FEE CALCULATION SCHEDULE**  
**Due May 2, 2016**

Company Name: \_\_\_\_\_

Annual Report Year  
**2015**

In accordance with RCW 81.24.010 and 81.24.030 "Regulatory Fees", the Commission requires Certificated and Common Carrier Commercial Ferry companies to file reports of gross intrastate operating revenue and pay fees on that revenue. Every company subject to regulation shall file with the Commission a statement under oath showing its gross intrastate operating revenue from operations for the preceding year and pay to the Commission a fee as instructed below.

**Regulatory Fee Calculations**

1 Total Gross Intrastate Operating Revenue** (From Schedule 1, Line 4)	_____	
2 Less Non Fee-Paying Revenue (from Schedule 4, Total)	_____	
3 Balance-Adjusted Gross Intrastate Operating Revenue (Line 1 minus Line 2)	_____	
4a If Line 3 is UNDER \$5000, enter ZERO (skip to Line 5)	_____	
4b If Line 3 is OVER \$5000, enter amount from Line 3 x 0.4%	_____ x 0.004	
5 Total Regulatory Fees owed (Enter Line 4a or 4b)	_____	
		<i>Agency Use Only</i> 001-111-0268-216-01

**Penalty & Interest Calculations**

6 Penalties on Regulatory Fees being paid after <b>May 2</b>		
6a Total Penalties on Regulatory Fees owed (enter amount from Line 5 x 2%)	_____ x 0.02	
7 Interest on Regulatory Fees being paid after <b>May 31</b>		
7a Amount from Line 5 x Number of months past May 31 x 1%	_____ x _____ x 0.01	
8 Total Penalties and Interest owed (Line 6a plus Line 7a)	_____	
9 Total Regulatory, Penalties and Interest Fees Due (Line 5 plus Line 8)	_____	
		<i>Agency Use Only</i> 001-111-0268-216-11

**\*\*Note:** Gross Washington intrastate operating revenue is defined as **all revenue collected for the year** from rates under tariffs on file at the Washington Utilities and Transportation Commission. The revenues subject to the Commission's regulatory fees are gross Washington intrastate operating revenues before deductions for uncollectibles, unbillables, subscriber/aggregator commissions or the payment of site charges and state and federal taxes, i.e. "Gross Revenues" means before any deductions from Revenue Receipts.

**COMMISSION USE ONLY**

Reception #: _____	001-111-0268-216-01 _____	
Reference: <u>AR2015</u>	001-111-0268-216-11 _____	
Payment ID: _____	001-111-0268-032-20 _____	
	001R-111-0268-032-20 ( _____ )	
	Total Paid: _____	

---

## PAYMENT INFORMATION

---

**The commission accepts the following methods of payment:**

- Cash (in-person at commission)
- Check (must be in US Funds)
- Online payments\* (ACH, American Express, Discover/Novus, Mastercard, Visa)
- Pay-by-phone (credit card payments only) at (360) 664-1349

*\*Please note: A convenience fee of 2.5 percent (minimum of \$3.95) is charged by Official Payments for using the credit card processing service.*

**To pay online visit:**

<https://fortress.wa.gov/wutc/utcweb/regulatedIndustries/Pages/onlinepayments.as>

---

## FILING YOUR REPORT

---

All annual reports and regulatory fees must be received by the commission no later than May 1 each year (or the following business day if May 1 lands on a weekend). Postmark dates are not considered the date received. It is strongly recommended to use a mail delivery service, such as certified mail via USPS, with delivery confirmation or filing online to receive an email notification of receipt.

**Reports may be mailed, faxed, delivered in person or submitted online:**

- Mail to: *(recommend via certified mail no later than April 15 to ensure timely delivery)*  
Utilities and Transportation Commission  
PO Box 47250  
Olympia, WA 98504
- Physical Address for express delivery services (Fedex, UPS):  
1300 S. Evergreen Park Dr. S.W.  
Olympia, WA 98504
- Fax to: (360) 664-1289 *(Contact commission staff below to verify receipt)*
- File online using the commission e-file system: *(System will generate automatic email receipt)*  
<https://fortress.wa.gov/wutc/utcweb/docs/Pages/ElectronicFiling.asp>

---

## NEED MORE ASSISTANCE?

---

For more information about annual reports please reference the Annual Report FAQ document at the website below or contact Amber George at (360) 664-1156 or [ageorge@utc.wa.gov](mailto:ageorge@utc.wa.gov).

<https://fortress.wa.gov/wutc/utcweb/regulatedindustries/Pages/annualReports.aspx>

---