

PHMSA Form 14 Question Set (IA Equivalent)
PHMSA (OQ) INSPECTION FORM

Name of Operator: Cascade Natural Gas		Unit ID No.	
OPID No. 2128		Insp. ID: 5815	
HQ Address: 8113 W Grandridge Blvd Kennewick, WA 99336		System/Unit Name & Address: Same	
Operator Official: Eric Martuscelli Title: VP Operations Phone: 509-734-4585 Emergency Phone/Cell:		Address: 8113 W Grandridge Blvd City: Kennewick State: WA Zip Code: 99336	
Persons Interviewed	Title	Phone No.	
Kevin McCallum	Pipeline Safety Specialist	509-736-5542	
Brion Beaver	Manager Safety & training	509-734-4577	
Mike Eutsui	Manager Standards & Compliance	509-734-4576	
State Representative(s): Ronda Shupert		Inspection Date(s) 11/25/2014	
Records Location:			

Unit Description:
Portion of Unit Inspected:
Operator Qualification Inspection

<p>Sat+ - Exceeds requirements/exemplary performance Sat - Meets requirements Con - "Concern" meets requirements, but is an area of recommendation and/or area that if not addressed may lead to non-compliance Unsat - Does not meet requirements N/A - Not Applicable N/C - Not Checked</p>
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Training and Qualification - Operator Qualification

1. Operator Qualification Plan and Covered Tasks (detail) *Is there an OQ plan that includes covered tasks, and the basis used for identifying covered tasks? (TQ.OQ.OQPLAN.P) (detail)*

192.805(a) (192.801(b))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

CP 503. Section 03

2. Reevaluation Intervals for Covered Tasks (detail) *Does the process establish and justify requirements for reevaluation intervals for each covered task? (TQ.OQ.REEVALINTERVAL.P) (detail)*

192.805(g)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

Section 035

The SME is the OQ committee.

3. Contractors Adhering to OQ Plan (detail) *Does the process require the OQ plan to be communicated to contractors and ensure that contractors are following the plan? (TQ.OQ.OQPLANCONTRACTOR.P) (detail)*

192.805(b) (192.805(f); 192.805(c))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

Safety dept. is responsible for ensuring task qualification procedures per section 02 for the CP. Per CP section .06 Contractor qualifications.

4. Contractor and Other Entity Qualification (detail) *Does the process require contractor organizations or other entities that perform covered tasks on behalf of the operator to be qualified? (TQ.OQ.OQCONTRACTOR.P) (detail)*

192.805(b) (192.805(c); 192.855(d); 192.805(e); 192.805(f))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

Section .06 of CP

6. Contractor and Other Entity Qualification (detail) *Are adequate records maintained for contractor personnel qualifications that contain the required elements? (TQ.OQ.OQCONTRACTOR.R) (detail)*

192.807(a) (192.807(b))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

Contractor records are in Sharepoint as of 2011.

7. Do records document evaluate of the other entities performing covered tasks on behave of the operator

192.805(b) (192.805(c); 192.803)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

Evaluate annually per CP section .06. Form 420 is used

8. Evaluation Methods (detail) Are evaluation methods established and documented appropriate to each covered task? (TQ.OQ.EVALMETHOD.P) (detail)

192.805(b) (192.803; 192.809(d); 192.809(e))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
CP section .0411

Energy U thru MEA is used for of the evaluations

9. Evaluation Methods (detail) Do records indicate evaluation methods are documented for covered tasks and consistent with personnel qualification records? (TQ.OQ.EVALMETHOD.R) (detail)

192.805(b) (192.803; 192.809(d); 192.809(e))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
List of tasks used to determine evaluation of each employee. Tasks are specific to each employee for the job performed.

10. Abnormal Operating Conditions (detail) Does the process require: 1) individuals performing covered tasks be qualified to recognize and react to abnormal operating conditions (AOCs), 2) evaluation and qualification of individuals for their capability to recognize and react to AOCs, 3) AOCs identified as those that the individual may reasonably anticipate and appropriately react to during the performance of the covered task, and 4) established provisions for communicating AOCs for the purpose of qualifying individuals? (TQ.OQ.ABNORMAL.P) (detail)

192.803

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
All field employees are oq'd for the AOC task. Online training and or performance evaluation based on task. Examples shown for #4.

11. Abnormal Operating Conditions (detail) Do records document evaluation of qualified individuals for recognition and reaction to AOCs? (TQ.OQ.ABNORMAL.R) (detail)

192.807(a) (192.807(b); 192.803)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
MEA exam, then tested. Reviewed examples

12. Qualification Records for Personnel Performing Covered Tasks (detail) Do records document the evaluation and qualifications of individuals performing covered tasks, and can the qualification of individuals performing covered tasks be verified? (TQ.OQ.RECORDS.R) (detail)

192.807

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
Records reviewed for two employees.

13. Planning for Mergers and Acquisitions (Due Diligence re: Acquiring Qualified Individuals) (detail) Does the process adequately manage qualifications of individuals performing covered tasks during program integration following a merger or acquisition? (TQ.OQ.MERGERACQ.P) (detail)

192.805(b) (192.803)

Sat+	Sat	Concern	Unsat	NA	NC
				X	

Notes

Missing from CP 503. Suggest the operator add it to the written program

14. Training Requirements (Initial, Retraining, and Reevaluation) (detail) Does the OQ program provide for initial qualification, retraining and reevaluation of individuals performing covered tasks? (TQ.OQ.TRAINING.P) (detail)

192.805(h)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

CP section .045

16. Covered Task Performed by Non-Qualified Individual (detail) Are there provisions for non-qualified individuals to perform covered tasks while being directed and observed by a qualified individual, and are there restrictions and limitations placed on such activities? (TQ.OQ.NONQUALIFIED.P) (detail)

192.805(c)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

CP section .051

17. Personnel Performance Monitoring (detail) Does the program include provisions to evaluate an individual if there is reason to believe the individual is no longer qualified to perform a covered task based on: covered task performance by an individual contributed to an incident or accident; other factors affecting the performance of covered tasks? (TQ.OQ.PERFMONITOR.P) (detail)

192.805(d) (192.805(e))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

CP section .065 for contractors. CP section .048 and .049

19. Program Performance and Improvement (detail) Does the process require evaluation of the OQ program and implementation of improvements to enhance the effectiveness of the program? (TQ.OQ.PROGRAMEVAL.P) (detail)

192.605(a) (192.605(b)(8))

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

CP section .035 covers covered tasks as well section .09. The O&M manual section 5.3 states the CP will be reviewed annually by the SME (subject matter expert).

21. Management of Changes (detail) Does the OQ program identify how changes to procedures, tools standards and other elements used by individuals in performing covered tasks are communicated to the individuals, including contractor individuals, and how these changes are implemented in the evaluation method(s)? (TQ.OQ.MOC.P) (detail)

192.805(f)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes

CP section .09

22. Notification of Significant Plan Changes (detail) *Does the process require significant OQ program changes to be identified and the Administrator or State agency notified? (TQ.OQ.CHANGENOTIFY.P) (detail)*

192.805(j)

Sat+	Sat	Concern	Unsat	NA	NC
	X				

Notes
CP 1 section 5.4.3

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