



621 Woodland Square Loop SE
 Lacey, WA 98503
 P.O. Box 47250
 Olympia, WA 98504-7250
 Phone: 360-664-1222
 Email: transportation@utc.wa.gov

SOLID WASTE COLLECTION COMPANY CERTIFICATE APPLICATION

| FOR OFFICIAL USE ONLY | | | |
|-----------------------|-----------------|-----------------|--|
| Date Filed: | Company: | Docket #: | |
| Receipt ID: | Payment ID: | Amount Paid: | |
| 111-0268 | 111-0268-227-02 | 111-0268-032-20 | |

| Type of Solid Waste Authority Requested - only one type per application is allowed | Fee |
|--|-------|
| <p>Permanent Authority – check the appropriate box below and complete entire application and submit a proposed tariff as outlined in the standard tariff form (WAC 480-70-091).</p> <p><input checked="" type="checkbox"/> New certificate</p> <p><input type="checkbox"/> Extension of certificate: Certificate G-</p> <p><input type="checkbox"/> Transfer of authority: Certificate G- Complete <i>Attachment B</i></p> <p><input type="checkbox"/> Lease of authority: Certificate G- Complete <i>Attachment B</i></p> <p><input type="checkbox"/> Reinstatement of canceled authority: Certificate G- (must be filed within 30 days of cancellation). Include a statement justifying the reinstatement and complete sections 1, 2, and 8.</p> | \$200 |
| <p>Temporary Authority – Complete this application and check appropriate type (WAC 480-70-131)</p> <p><input type="checkbox"/> New temporary authority – complete <i>Attachment A</i>.</p> <p><input type="checkbox"/> Temporary authority to operate pending a commission decision on a concurrently filed certificate application.</p> <p><input type="checkbox"/> Expedited temporary authority – to meet an immediate or urgent need for a period of not more than 30 days – complete <i>Attachment A</i>.</p> | \$25 |
| <p>Name Change (There can be no change in ownership) – Check the appropriate box(s) below (WAC 480-70-121) and complete section one of this application and <i>Attachment C</i>.</p> <p><input checked="" type="checkbox"/> Change of corporate name</p> <p><input type="checkbox"/> Change of trade name</p> <p><input type="checkbox"/> Addition or new trade name</p> <p><input type="checkbox"/> Change of surname of an individual owner or partner</p> | \$35 |
| <p>Mortgage including requests for permission to mortgage or otherwise encumber a certificate (WAC 480-70-116).</p> <p><input type="checkbox"/> Complete <i>Attachment D</i></p> | \$35 |



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Section 1 – Business Information

Legal Name: **UTC Dumpsters, LLC**

Trade Name(s), if applicable: **UTC Dumpsters**

Physical Address: **621 Woodland Square Loop SE, Lacey WA 98503**

Mailing Address: **PO Box 47250, Olympia WA 98504**

Telephone Number(s): **360-664-1222** Email Address: **transportation@utc.wa.gov**

USDOT#: **123456** If you do not have a USDOT number, go on-line at www.fmcsca.dot.gov/online-registration

to apply or call 360-596-3812 for assistance.

Is your business registered with the **Department of Revenue**? No Yes

Business License/UBI#: **604-123-456**

Type of Business

Individual Partnership Corporation Other (LP, LLP, LLC) State of Incorporation **Washington**

List the name, title and percentage of all partner's share or stock distribution for major stockholders:

| Name | Title | Stock Distribution/% of Shares |
|--------------------|--------------|--------------------------------|
| John E. Doe | Owner | 50 |
| Jane L. Doe | Owner | 50 |
| | | |
| | | |

**SUBMIT AS ATTACHMENT IF MORE SPACE IS REQUIRED*

Section 2 – Industry Questionnaire

- Do you currently hold, or have you ever held a solid waste certificate? No Yes
 If yes, please indicate your certificate number: G-
- Have you ever applied for and been denied a certificate to transport solid waste? No Yes
 If yes, please explain:

Section 2 – Industry Questionnaire Continued

3. Please describe the territory in which you wish to operate, include the name, address, and county for disposal of waste and the name, address and county where residential recycling materials will be delivered (NOTE: territory must be described using boundaries such as streets, avenues, roads, highways, townships, ranges, city limits, county boundaries or other geographic description).

UTC Dumpsters, LLC plans to operate in Thurston County. The specific territory is within the box created by Old Highway 99 SE between the Olympia Regional Airport and Tenino, north of Highway 507 from Tenino to the intersection of Highway 510 in Yelm, west of Highway 510 all the way north to I5, and the entirety of the peninsula north of I5 between Budd Inlet and the Nisqually Reach. Included is Olympia, east of Budd Inlet. All waste will be disposed of at the Thurston County Transfer Station at 2414 Hogum Bay Road, NE, Lacey, WA 98516.

Attach a map that meets the requirements of [WAC 480-70-056](#) and clearly shows the territory described above.

4. State below the conditions that justify granting your application. If you are applying for temporary certificate authority, be sure your statement addresses and support the question of “immediate and urgent need.”

There is a lot of residential construction in the area and a demand for 5 yard dumpsters. UTC Dumpsters LLC will also perform pickup and drop-off on the weekends and holidays.

5. Please tell us about your experience and knowledge of transportation or solid waste, including knowledge of motor carrier driver and equipment safety requirements:

15 years as a hook-truck operator in New Mexico. CDL license for 10 years.

6. Have you or your company ever been cited for business-related violations of state laws or commission rules by the commission or any other federal or state agency? No Yes **If yes, please explain:**



7. Will you be employing CDL drivers? Yes No If "yes" you must attach evidence of enrollment in a drug and alcohol testing program.

Section 3 - Financial Information

Complete the following or attach a balance sheet, profit and loss statement, or business plan.

| Assets | | Liabilities | |
|----------------------|-------------------|--|-------------------|
| Cash in Bank | \$ 50,000 | Salaries/Wages Payable | |
| Notes Received | \$ 5,000 | Accounts Payable | \$ 25,000 |
| Investments | \$ 25,000 | Notes Payable | \$ 10,000 |
| Other Current Assets | \$ 10,000 | Mortgages Payable | \$ 15,000 |
| Prepaid Expenses | \$ 3,500 | Total Liabilities | \$ 50,000 |
| Land and Buildings | \$ 20,000 | Net Worth | \$ 99,700 |
| Trucks and Trailers | \$ 35,000 | Preferred Stock | |
| Office Furniture | \$ 500 | Common Stock | |
| Other Equipment | \$ 100 | Retained Earnings | |
| Other Assets | \$ 600 | Capital | \$ 1,500 |
| TOTAL ASSETS | \$ 149,700 | TOTAL LIABILITIES AND NET WORTH | \$ 101,200 |

Section 4 – Rates and Tariffs

7. Is this application to operate under a contract? No Yes If yes, submit a copy of each contract under which service will be performed. The contract must contain all the elements stated in [WAC 480-70-146](#). Is the contract with a (check one): City County Municipality Other
8. If this application is for temporary authority, a new certificate, or extension of existing certificated authority, you must attach a copy of your proposed tariff using either the standard tariff format included in this package, or an approved alternate format. All tariffs must comply with the provisions of [WAC 480-70-226](#) through [WAC 480-70-351](#). Have you attached a proposed tariff? Yes No
9. If this application is for a transfer or lease of authority from an existing certificate, you must either file a new tariff at the same rate levels as on file, or you must adopt the current certificate holder's tariff. To file a new tariff, use the [standard tariff format](#) or you must seek approval to use an alternate format. Indicate which option you will use: Adopt File New Tariff
- Have you attached a proposed tariff? Yes No

Section 5 - Equipment List

List the equipment you own or lease to provide solid waste collection services.

| Lease/Own/ Plan to Purchase | Year | Make | License Number | Vehicle ID (VIN) | GVW | Type of Vehicle |
|--------------------------------|------|---------------|----------------|------------------|--------|-----------------|
| Own | 2015 | International | 654R37 | V123456788-879 | 15,000 | Hook Truck |
| | | | | | | |
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| | | | | | | |

*attach additional pages if necessary

Section 6 – Safety

In each of the categories below, **list the person and position responsible** for understanding and complying with the Federal Motor Carrier Safety Regulations, CFR's, Washington State [RCW 81.77](#) and [WAC 480.70](#). Please refer to the WAC, Fact Sheets, and publication "Your Guide to Achieving a Satisfactory Safety Rating" for assistance with requirements that may apply to your specific operations.

Controlled Substance and Alcohol Use and Testing (Title 49, Code of Federal Regulations Part 382 and Part 40).

If you operate commercial motor vehicles, your drivers must be in a Controlled Substance and Alcohol Use and Testing program. You must have an alcohol and controlled substances testing program. **Please attach evidence of your enrollment in a drug and alcohol testing program if your company has commercial vehicles and employs CDL drivers.**

Commercial Drivers License (CDL) Requirements (Title 49, CFR Part 383) Any driver who operates a vehicle that meets the definition of a commercial motor vehicle must have a valid CDL.

Name: **John Doe**

Position: **Owner**

Driver Qualification Requirements (Title 49, CFR Part 391) Driver's must meet minimum qualification requirements and each company must maintain driver qualification files for each driver.

Name: **John Doe**

Position: **Owner**

Drivers Hours of Service (Title 49, CFR Part 395) Drivers must maintain logs and each company must maintain true and accurate hours of service records for each driver.

Name: **John Doe**

Position: **Owner**

Controlled Substances and Alcohol Testing (Part 382) All persons who drive commercial vehicles requiring a CDL must be in a Controlled Substance and Alcohol Testing program that complies with the FMCSR in Title 49 CFR Part 382 and Title 49 CFR Part 40.

Each company will have in place a system for complying with FMCSR governing alcohol and controlled substances testing requirements (Title 49 CFR Part 382 and 49 CFR Part 40).

Name: **John Doe**

Position: **Owner**

Inspection, Repair and Maintenance (Title 49, CFR Part 396) Every motor carrier shall systematically inspect, repair, and maintain all motor vehicles subject to its control.

Name: **John Doe**

Position: **Owner**



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Section 7 - Operational Responsibilities

Identify the person and position responsible for understanding and complying with the requirements of each category shown below.

Tariff Rates and Charges (WAC 480-70-226 through WAC 480-70-351) Companies must file with the commission a tariff showing all rates and charges it will charge its customers, together with rules that govern how rates and charges will be assessed.

Name: **Jane Doe** Position: **Owner**

Annual Reports and Regulatory Fees (WAC 480-70-071 & 076) Companies must annually file a report of their financial operations and pay regulatory fees.

Name: **Jane Doe** Position: **Owner**

Biomedical Waste (WAC 480-70-426 through 476) Companies that transport biomedical waste must handle and transport that waste according to the appropriate requirements of the federal hazardous materials regulations (49 CFR Parts 170-189) and the additional requirements in these rules.

Name: **Jane Doe** Position: **Owner**

Customer Service (WAC 480-70-386 and 391) Person responsible for customer service complaints, customer notice requirements, and compliance with county solid waste plans.

Name: **Jane Doe** Position: **Owner**

State of Washington – general laws, rules and regulations: Individuals and companies doing business in the state of Washington must comply with the regulations of local, state, and federal agencies. Please state the name and position of the person in your organization who will be responsible for ensuring compliance with the laws of the state of Washington, such as, but not limited to: Department of Labor and Industries (industrial insurance, safety, prevailing wage); Department of Licensing (vehicle and drivers licenses, business licensing, Unified Business Identifier (UBI number), fuel permits, fuel tax); Secretary of State (corporate registrations); Department of Transportation (over-size or over-weight permits); Department of Revenue, Internal Revenue Service (taxes); and Employment Security.

Name: **Jane Doe** Position: **Owner**

Section 8 – Hearing Information

If the commission assigns this application for formal hearing, estimate the number of witnesses you will present and the amount of time you will need for your presentation.

Number of witnesses: **10** Amount of time: **3 Hours**

Will an attorney be representing you? No Yes If yes, complete the following:

Attorney's Name: **Lionel Hutts** Attorney's Phone Number: **360-555-1234**

Attorney's Firm: **Hutts & Smithers** Fax Number: **360-555-5678**

Street: **1234 Deschutes Way**

City: **Olympia** Email: **lionel.hutts@huttsandsmithers.com**

State, Zip: **WA, 98502**



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Section 9 - Declaration of Applicant

Initial

jed I understand that filing this application **does not** in itself constitute authority to operate as a solid waste collection company.

jed As the applicant for a solid waste collections company certificate, I understand the responsibilities of a solid waste collection company, and I am in compliance with all local, state, and federal regulations governing business in the state of Washington.

jed I certify under penalty of perjury under the laws of the State of Washington that the information contained in this application is true and correct.

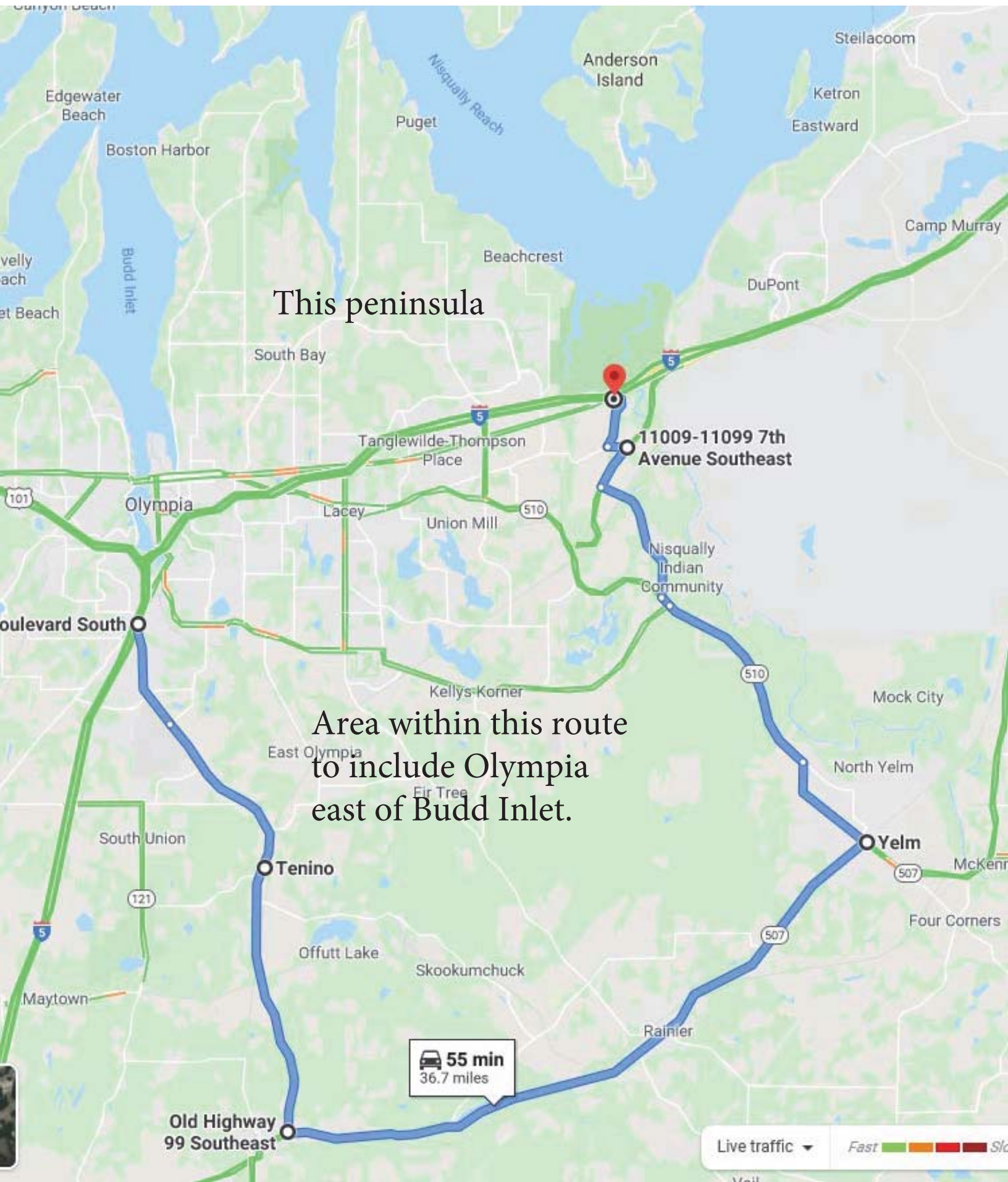
jed I certify that I am the applicant, or I am authorized to execute and file this document on behalf of the applicant.

Name: **John E. Doe**

Date: **05/05/2020**

Section 10 – Additional Required Attachments

- Attachment A – Temporary Certificate or Expedited Temporary Authority Support Statement
- Attachment B – Joint Application for Transfer or Lease of Certificated Authority
- Attachment C – Change of Corporate/Individual Name
- Attachment D – Permission to Mortgage a Certificate



This peninsula

Area within this route to include Olympia east of Budd Inlet.

55 min
36.7 miles

Live traffic

Fast Slow